

Reliability centered maintenance of railway infrastructures-Turnout case study

Kaveh mehrzad^{1*}, Shervan Ataei²

1-Avand Barzin Knowledge enterprise, Tehran, Iran

2-Railway school, Iran university of science and technology, Tehran, Iran

* Kaveh906@yahoo.com

Abstract

Due to the importance of the fundamental role of turnouts in network operations and their higher vulnerability than other assets, turnout condition monitoring is necessary for reliability centered maintenance. Along with periodic visual inspections, real-time infrastructure condition detection can help to introduce the performance of the structure so that infrastructure maintenance is more reliable. A new approach for railway turnout pass-by condition detection is provided based on statistical process control (SPC) of damage-sensitive features (DSF) using switchblade lateral displacement (BLD) measurements. BLD time series data is modeled using a neural network model for the extraction of DSF. This approach is applied to 33 passenger trains. The results of the proposed approach are validated by analysis of BLD and switch rod force sensor outputs. This method can be applied in turnout short-term condition monitoring for condition detection that can lead to preventive maintenance, proper track operation management, and increased reliability.

Keyword: Blade displacement; Condition monitoring; Reliability centered maintenance; Railway turnout; Switch panel

Introduction

Turnouts are vital elements of the rail network as they are responsible for guiding rail traffic. Due to certain geometry of rail and complicated interaction of train- track in switch and crossing panels of turnout, these parts are very attractive in studies to prevent failure in railway system. There are several studies to simulate the dynamics of the vehicle's passage over the crossing panel [1-3] and field measurements of the crossing panel [4-6] to investigate impacts induced in crossing by vehicle passing. A method to investigate the dynamic response of railway crossing based on acceleration and strain measurements was introduced in [4]. In another field study using nose rail acceleration measurements, impact acceleration was introduced as an indicator for evaluation of crossing conditions [5]. Wheel set lateral displacements were measured in a study and critical wheelsets were specified in switch and crossing panels by comparing measured displacements with frequency in switch and crossing panels [6].

Unlike the crossing panel in the switch panel area, relatively little numerical and field work has been done in the technical literature. However, this area is also a sensitive and accident-prone area due to the complex interaction of

wheel and rails and is of great importance in terms of maintenance. Wear of switch/stock rail, soft spots and plastic deformations are some common failures/defects in switch panels [7].

Some numerical studies in switch panel were presented in [8-9]. In [8] effect of the vertical relative motion of stock/switch rails on wheel-rail contact mechanics in a numerical study was investigated. Different non-Hertzian modeling approaches in wheel/rail contact problems were evaluated in [9]. From the numerical works, it can be found that the contact between the wheel and the rail is complex in the switch panel and is associated with the impacts caused by the transfer of the wheel from the stock rail to the blade rail.

In the static state, the gap between the blade and the stock rail in the close position is a key parameter of the switch health and in some standards such as [10] certain limits have been set for this parameter. However, this parameter has not been limited in the dynamic state while the train is passing from turnout. The blade vibration caused by the contact forces of the wheels occurs due to the placement of the blade on the switch base plate. Therefore, the measurement of the size and pattern of blade displacements can be a measure of the turnout interaction response to vehicle passage.

In this study, Monitored BLDs has been used to identify the condition of wheel/rail interactions in switch panel due to passing vehicles.

The use of statistical process control (SPC) methods in the vibration-based fault detection is a common method in literature review. Reference [11] uses a statistical process control framework to support structural health monitoring in a historic building. In that study, an Autoregressive (AR) model fitted to the time history of acceleration measured in a sound structure is used. Residual values (forecast and measurement difference) are considered as damage sensitive features (DSF). In [12] the AR Support Vector Machines (SVM) method is used instead of the AR linear model and in terms of control ability in nonlinear dynamics as well as the structure of the model is proposed a nonlinear time series model. [13] Also proposes a technique based on the residual moving average regression model of exogenous inputs (ARMAX) to improve noise and damage detection power in different types of stimuli and realistic conditions in shear structure.

In most of mentioned studies, due to the possibility of applying damage with different degrees, the damage identification is supervised type. Due to the fact that in most cases like turnout survey in this study, the data available is only from a sound structure, damage detection must perform in an unsupervised form. In this study using control chart method on residuals of Non-linear AR neural network (NN) prediction models, condition detection in an unsupervised method is conducted.

First, the data source used in this study is described. Then the method for modelling of time series is presented. Results of condition detection during train passages are presented in forth section and validation of the detected trains has been done by statistical analysis of the data of different sensors. Figure 1 shows diagram of methodology in this study.

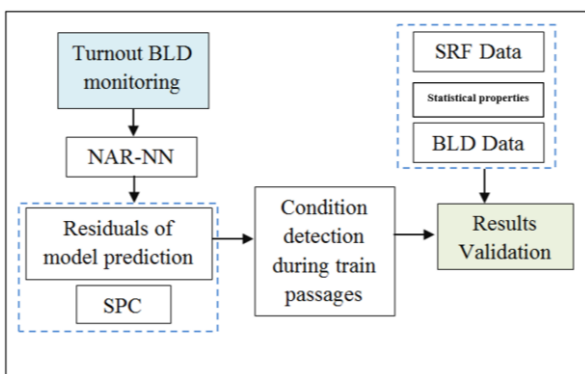


Fig. 1. Diagram of research methodology

Data source

Field measurement

Generally, a turnout is formed from a switch panel a closure panel and a crossing panel (figure 2(a)). In a case study, the entrance turnout in the mainline of one station was

instrumented and the turnout was monitored [14, 15]. The turnout is a 1:9 left-hand turnout with a 60E1 full rail section type.

Figure 2(a) illustrates the location of train detection sensors, including the axle counter (Trigger) sensor and Weigh In Motion (WIM) sensor to detect train properties, and switch blade lateral displacement (BLD) sensor in the turnout plan view. Figure 2(b) shows the hardware part of the turnout monitoring system. Data were measured in the mainline and the facing direction of the turnout. The measurement sampling frequencies of the sensors are 10 kHz. Measurements include signals from consecutive passage of 33 trainsets passenger trains in two-month period.

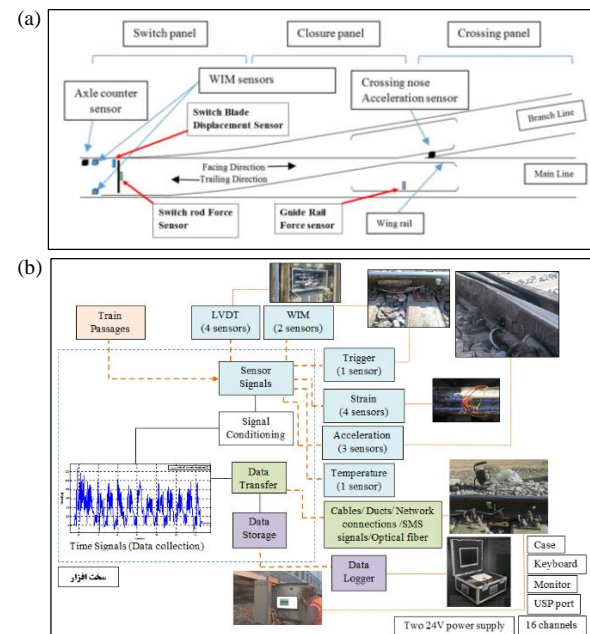


Fig. 2. Instrumentation of turnout. (a) General layout of turnout and sensors configuration. (b) The hardware of the turnout monitoring system

Data Processing

In the first step, characteristics of passing trains are determined by the processing of the axle counter sensor and WIM sensors data. Dynamic axle load and train configuration from raw data could be detected according to Figure 3(a).

Trainsets have more uniform specifications [16] including axial load and speed, number and axle distance and therefore, they have more comparative conditions to check for fault detection. Therefore, these train passages were selected from numerous vehicles data.

To reduce the volume of data to introduce to the forecasting AR model, signal preprocessing, reducing sample frequency from 10 kHz to 100 Hz was performed in BLD data.

The measured data from WIM sensors for a train-set passage (train No. 20) with 5 self-traction unit (20 axle passage) at 116 km/h speed are shown in figure 3(b). In order to uniformize all data series, the middle part of the

trains, including the passage of four P.Bogies, has been included in the continuation of the study (figure 3 b).

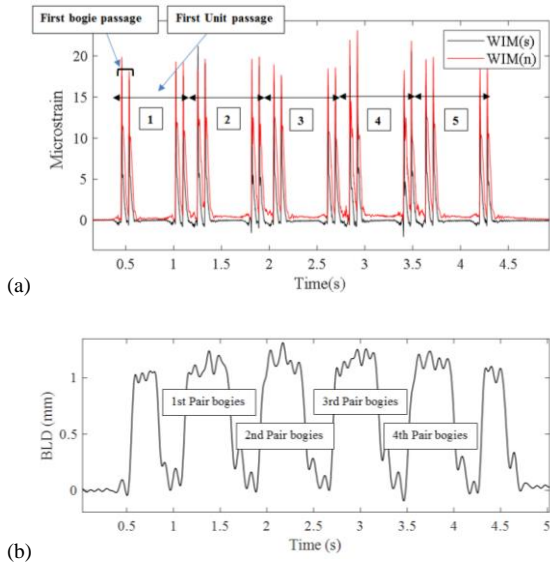


Fig. 3. Train specification detection, (a) Train configuration detection by WIM sensor outputs, (b) First to 4th P.Bogies effects on BLD measurement data

Based on time of train passage from turnout, classification of trains was done and six groups of trains detected (G1 to G6). Thirty-three train-sets were selected for applying the methodology.

The statistical specifications of the studied trains containing estimated train axle load, speed and BLD are presented in the figure 4 (a, b and c) respectively.

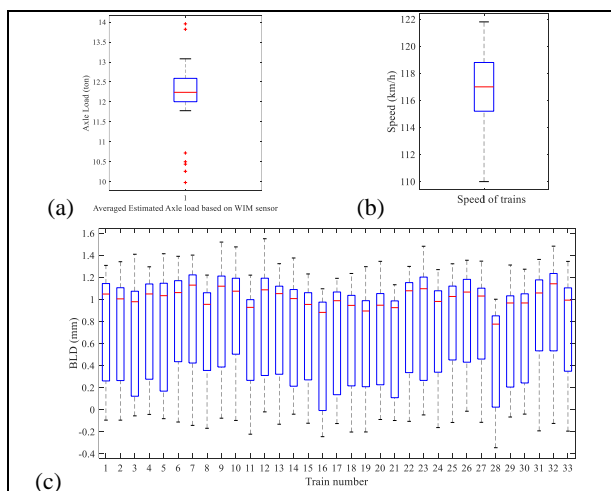


Fig. 4. The statistical specifications of the studied trains containing (a) Train axle load, (b) Speed and (c) BLD

Time series prediction method

Predictive models are used to identify systems when dynamic models are created from physical systems. These dynamic models are important for analyzing, simulating, monitoring, and controlling a variety of systems [17]. In this study, the application of time series

forecasting model is aimed at identifying train passings with potential of failures.

Nonlinear Auto Regressive Neural network (NAR-NN)

Dynamic Neural Networks (DNN), which include delay lines, are used for nonlinear filtering and prediction. These networks perform well in predicting time history. The view of the desired network is shown in the figure 5.

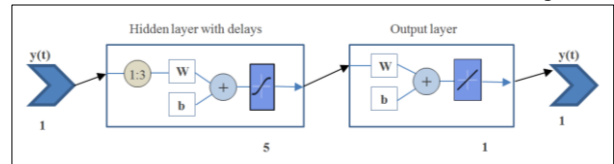


Fig. 5. Structure of a nonlinear autoregressive neural network Future values of time history $y(t)$ are estimated from the previous values of the series. This form of nonlinear autoregressive prediction, or NAR, can be written as follows:

$$y(t) = f(y(t - 1), \dots, y(t - d)) \tag{1}$$

The trial and error method has been used to create the optimal structure of the neural network. A hidden layer for NN with a sufficient number of neurons provides a comprehensive estimator according many similar studies. Levenberg– Marquardt that is a powerful learning algorithm, was used here.

The Log-Sigmoid Transfer Function and Linear Transfer Function were selected for the hidden and output layers. The optimal network structure was selected by minimizing the error. The learning pause is set until the error reaches an acceptable level or the predetermined number of epochs reaches.

Potential inputs for autoregressive neural network models from one to three previous data ($Y(t-1)$, $Y(t-2)$, $Y(t-3)$) checked out. The selection of the optimal structure is based on training process on first 50% and the test error on the second 50% of the passing train BLD data (train No. 50). Similarly, by changing the characteristics of the neural network model (number of neurons and changing inputs) the results of the model performance were extracted, which are presented in Figure (6). Performances of models were evaluated by mean square error. According to Figure (6), the neural network with 5 neurons and 3 previous inputs (three delays) is selected as the superior option.

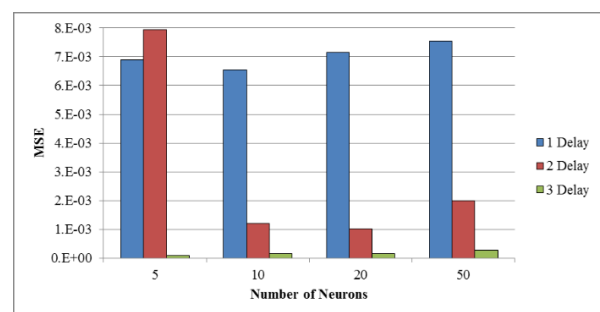


Fig. 6. Effect of the number of neurons and delays on the performance of the NAR-NN model

Results and discussion

Control chart results

Based on Shewhart's theory, all processes, although in a healthy state, are detected with a certain amount of change if measured with a sufficiently accurate instrument. When this variability is limited to random changes, the process is called static control. Also, if the variability of the process is affected by a specific factor such as incorrect machine settings, incorrect operation, insufficient raw materials, worn machine components, etc. in this cases, the process will be out of static control. These determinants of change usually have a detrimental effect on product quality, so it is important to have systematic techniques for identifying these important deviations from statistical control as soon as they occur. Control charts are primarily used for this purpose. Control Chart has the ability to distinguish certain (determinable) factors from random changes. Therefore, in order to troubleshoot, this method can be used to identify the cause of out-of-control conditions [18, 19].

In this study, control chart has been used for individual measurements. Each sample is considered an observation and the moving range (MR) of two consecutive samples (sample size, n=2) is used to estimate process variability. MR, Up Control Limit (UCL) and Low control limit (LCL) are estimated from equation 2 using residuals (x) values of prediction models.

$$MR_i = |x_i - x_{(i-1)}| \tag{2}$$

$$UCL = D_4 \overline{MR}$$

$$LCL = D_3 \overline{MR}$$

\overline{MR} is average of MR and the values of D_3 and D_4 per sample size are available in reference books. More detailed information about statistical theory of equations could be found in reference [19]. Given that in this case each data is correlated with the previous data, the presence of a trend in the MR chart does not necessarily indicate an error.

Before calculating the control limits, it must first be determined from which train these limits will be calculated. The representative train should generate the lowest vibration levels to make it easier to identify irregularly passing trains. For this purpose, the maximum values of blade vibration caused by trains have been re-evaluated. Train number 464 with the lowest maximum BLD was selected as the base train to calculate the statistical control limits. Figure (7-a) shows the blade vibration caused by the passage of this train. The prediction results of the LSTM model and the residual values for train No. 464 are shown in Figure (7-b).

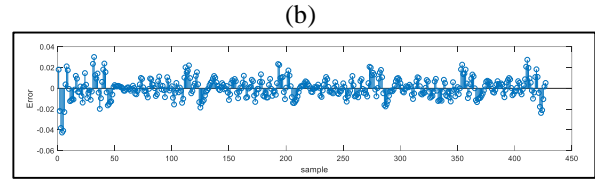
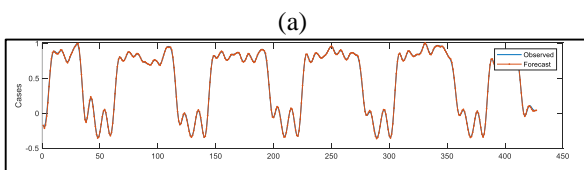


Fig. 7. (a) Forecast and (b) Residual Values - Train No. 464

Here is an example of results for the range of residual values of trains group 6 in figure 8. As shown in Figure 8, trains 680 and 646 clearly have values beyond statistical control limit in second and first P.Bogie respectively.

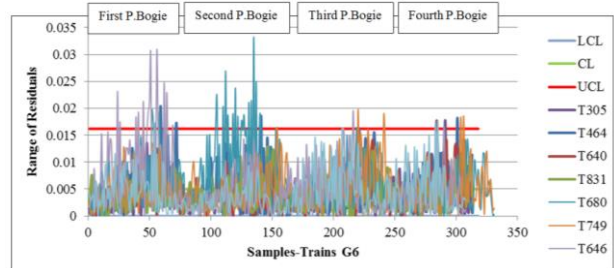


Fig. 8. Results of R-chart analysis of trains group 6

To identify critical trains, box plot analysis was performed on the OOC points, which the results are shown separately by the location of the bogie pairs in Figure 9. The allowable number of OOCs is considered equal to the outlier limit in the total case of OOCs. According to the results trains number 646, 680 and 268 are the worst cases in first, second and fourth P.Bogie respectively. The highest number of OOCs was identified on the train number 680. The cases of trains detected in the previous are summarized in Table (1).

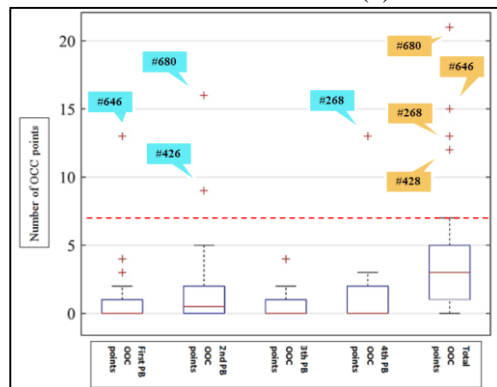


Fig. 9. Box plot analysis of OOC cases for identification of worst train passages

Table 1. Trains detected by the proposed method

1st P. Bogies	2nd P. Bogies	4th P. Bogies	Total
646	680	268	680
-	426	-	646
-	-	-	268

The detected trains as potentially defective train passages are validated by examining the values of the BLD sensor and other instrumented sensors in the turnout.

Validation of train condition detection results

Validation of the results has been evaluated by reviewing and comparing the outputs of three sensors including BLD and switch rod force (SRF).

a) BLD sensor

For comparison, the displacement of the blade of train No. 50 as a normal passage is compared with the data obtained from the passage of train No. 680. As can be seen, the sensor recorded small values of about 0.1 to 0.2 mm, in the middle areas between the passages of the P.Bogies (Figure 10a). However, the displacement of the blade due to the passage of the train No. 680 shows the values of intense vibration after the passage of the first and fourth P.Bogies which can reach up to 1 mm (Figure 10b).

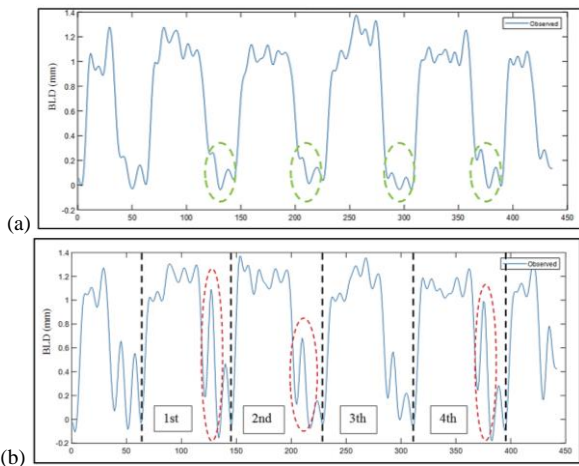


Fig. 10. Comparison of BLD measurement a) Blade vibration due to the passage of train No. 50 as a normal passage b) Blade vibration caused by passing train No. 680

To compare all the trains, after synchronizing the blade displacement signals, values were plotted for all 8 vibration zones caused by the train passing in figure 11 and maximum values were identified. These 8 areas include the passage of 4 P.Bogies (1, 3, 5, 7 areas in fig. 11) and 4 middle zones (M-zones containing 2, 4, 6, 8 in fig. 11) for a train-set with 5 self-traction wagons.

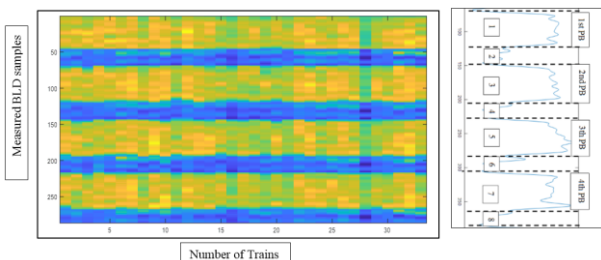


Fig. 11. Blade vibration due to the passage of all trains The eight areas mentioned are evaluated, and trains that match the trains detected by the statistical control method

are shown in Figure 12(a, b and c) for the first, second, and fourth M-zones respectively. Finally, trains No. 680, 646, 426 and 628 were detected by this method.

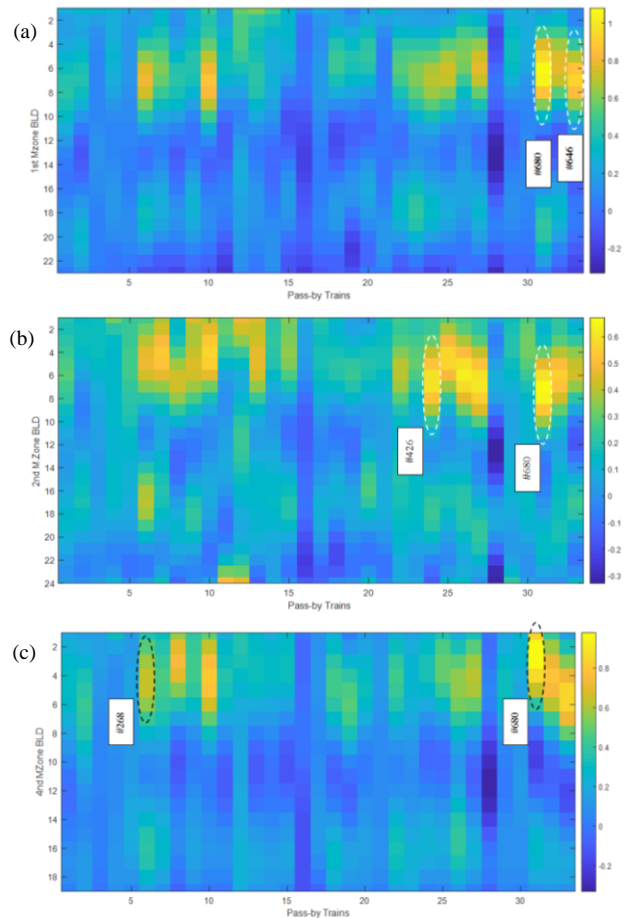


Fig. 12. Blade vibration during the passage of all trains (a) First M-zone (b) Second M-zone (c) Fourth M-zone

b) Switch rod force sensor

Another signal that was examined to validate the detection results of irregularly passing trains is the switch rod force. To show the effect of passing each wheel on the position of the switch rod, trigger signals and the switch rod force signals were aligned. In Figure (13) the effect of the impact of each wheel on the force of the switch rod and fluctuations after passing the pair of bogies is well known. Figure (14) shows the effect of passing the bogies of all trains on the switch rod force.

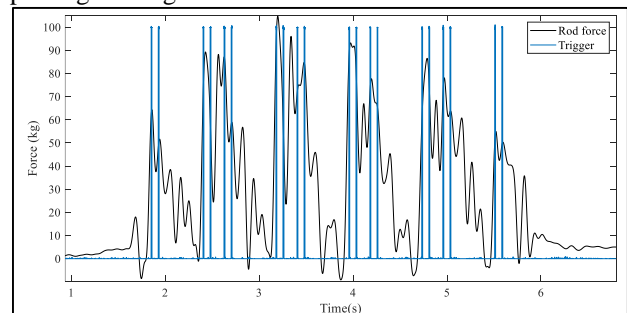


Fig. 13. Aligned signals of trigger and force of the switch rod

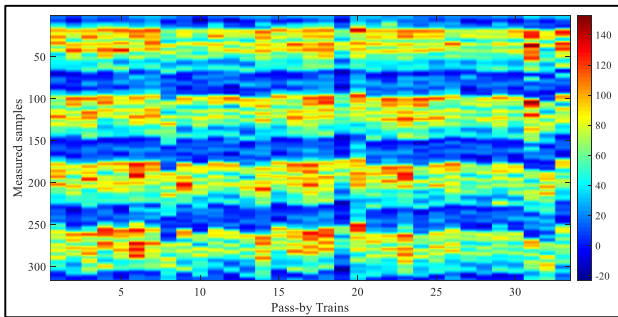


Fig. 14. Effect of passing the bogies of all trains on the force of the switch rod

Here, for more efficient comparison between trains, three statistical parameters including standard deviation, mean and minimum to maximum have been used. Figure 15 (a, b and c) shows the statistical parameters for the passage of the first, second and fourth P.Bogies of the trains respectively.

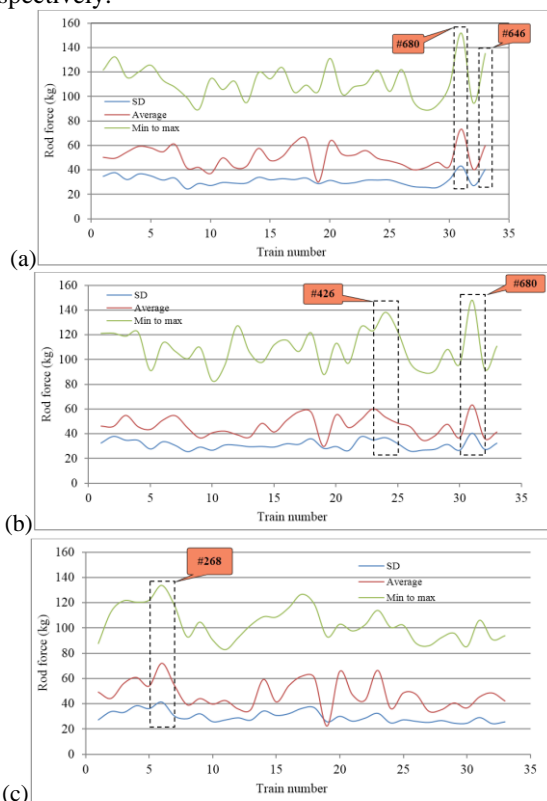


Fig. 15. SRF Statistical parameters for the passage of a) First P.Bogie b) Second P.Bogie c) Fourth P.Bogie

In summary, five trains detected by statistical sensors output investigation of the SRF. Table 2, shows results that confirms the control chart method by the statistical analysis of the sensors. The location of irregularities/defects is also included in the investigation. Therefore, trains including 680, 646, 426 and 268 were detected by statistical evaluation of sensor values, which confirms control charts method using LSTM and NN methods.

Table 2. Trains detected by two methods of control chart and sensors output investigation

Sensors output investigati	Control chart method	Sensor Output	Location on the train		
			1st P.Bogies	2nd P.Bogies	4th P.Bogies
NA	NAR-NN	BLD	646-680	426-680	268
Max	NA	BLD	646-680	426-680	680-268
Min to Max	NA	SRF	680	680	268

Due to the results in all cases, the trains identified by the statistical control method are compatible with statistically examining the output of the sensors.

Conclusion

In this study switch blade lateral displacement data were used to monitor the vibration and wheel shocks caused by irregular and potentially defective train passing. Using neural network method, the time history of blade displacements has been modeled. BLD time series data is modeled using a neural network model for the extraction of DSF. Statistical method (Control Charts) has been used to identify the number and location of OOC cases. According to the results of this study, trains with destructive passage through the switch panel were identified. For the purpose of validation, the results were compared with two parallel methods including statistical analysis of blade displacements and the switch rod force. In all cases, reviewing the results of the sensors confirmed the results obtained using the NN method. However, statistical maximum values alone are not able to identify the desired trains. The main difference in blade vibration caused by the detected trains was the free vibration in the middle zone of the pair bogies crossing, which does not necessarily occur in trains with maximum blade vibration.

Along with periodic visual inspections, real-time infrastructure condition detection can help to introduce the performance of the structure so that maintenance is more reliable. The importance of this study is in presenting a new solution for identifying irregularly passing trains in the unsupervised method in railway switches. The application of this method, which is based on switch structure monitoring data, can improve and optimize the operation of different rolling stocks and thus reduce vehicles and line maintenance costs. The results can also be used in preventive maintenance to prevent the spread of breakdowns and injuries.

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